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... Manuscript received 22 Jan. ... 2000; posted to Digital Library 7 Sept ... all transactions proceed unhindered until a transaction wants to commit, at which time it must ... www.cs.virginia.edu/~son/publications/tkde02.datta.pdf - Similar pages

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[PDF] <u>2,300 words</u>

File Format: PDF/Adobe Acrobat

... Jan-87 Jan-89 Jan-91 Jan-93 Jan-95 Jan-97 Jan-99 Jan-01 ... in fact existed for four decades since the late 1950s (see the time series plot in Asness, 2000). ... www.business.uts.edu.au/ finance/research/wpapers/wp116.pdf - <u>Similar pages</u>

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DATE: Thursday, December 09, 2004

Hide?	Set Name	e <mark>Query</mark> PB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP	Hit Count
	<i>LT</i> 9	L76 and (modif\$ near5 value\$1)	= <i>ADJ</i> 2
	L78	L76 and (modif\$near5 value\$1)	0
n	L77	L76 and (adjusted near5 value\$1)	5
	L76	(stock near5 value\$1) same (time near5 series)	35
	L75	L74 and ((adjust\$ or modif\$) near5 (data\$))	1
	L74	L73 and (time near5 interval\$)	13
	L73	171 and (financial near5 data\$)	38
	L72	L71 and (adjust\$ near5 data)	4
	L71	L70 and (raw near5 data\$)	541
	L70	(data\$base\$ or database\$).ti.	2537752
\Box	L69	L68 and (time near5 intervals)	3
	L68	L67 and (adjusting near5 data)	3
	L67	(securities near5 data) same (time near5 series)	51
	L66	L65 and (adjust\$ near5 data)	3
	L65	L64 and (time near5 series)	11
	L64	((financial near5 data) same (data near5 range))	118
	L63	L62 and ((financial near5 data) same (data near5 range))	0
	L62	(data near5 interval\$1) same (adjust\$ near5 data\$)	280
	L61	L60 and (adjust\$ near5 data\$)	5
	L60	(time near5 series) same (market near5 database\$)	20
	L59	L58 and ((adjust\$) near5 (time interval\$1))	0
	L58	(time series) same (financial near5 market\$)	56
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	L56	US-20030046018-A1.did.	1
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	L55	L54 and (financial near5 data\$)	5
	L54	time varying data	290
	L53	5761442 .uref.	25
	L52	L51 and (adjust\$ near5 data\$)	10
	L51	L49 and (time near5 interval\$1)	127

\Box	L50	I 40 and ((time moons intervals)) some (adjusts moons dates))	0
	L30 L49	L49 and ((time near5 interval\$1) same (adjust\$ near5 data\$)) (financial adj5 data\$) and (time adj5 series)	300
	L48	(time and rang\$ and market\$ and data\$).ti.	1
Π	L47	146 and (market near5 data\$)	2
	L46	(time intervals) same (data near5 adjust\$)	233
	L45	('5347452' '6256628' '5966139' '6272474')!.PN.	8
	L44	L42 and ((time near5 interval\$) and (adjust\$ near5 data\$))	1
	L43	L42 and ((time near5 interval\$) same (adjust\$ near5 data\$))	0
n	L43	(market and data\$).ti.	617
	L41	L38 and (adjust\$ near5 data)	017
	L40	L38 and (adjusted near5 data)	0
	L39	L38 and (adjusted data)	0
	L38	L37 and (time intervals)	32
	L37	L36 and (raw data)	144
	L36	stock market	3173
	L35	L34 and ((time near5 interval\$1) same (raw near5 data\$))	0
n	L34	L33 and (time near5 interval\$)	13
	L33	L32 and (adjust\$ near5 data\$)	13
	L32	L31 and (financial near5 database\$)	105
	L31	(raw near5 database\$)	1609
	L30	125 and (financial near5 data\$)	4
	L29	L28 and (time near5 interval\$1)	0
	L28	L27 and (stock near5 market\$1)	4
	L27	(financial and database\$).ti.	245
	L26	L25 and (raw near5 database\$)	2 - 2
	L25	(adjusted near5 data) same (time near5 intervals)	306
	L24	(adjusted data) near5 (time intervals)	4
	L23	(raw data values) and (adjusted data intervals)	0
	L22	(financial and data\$ and interval\$1).ti.	4
	L21	L20 and (data near5 rang\$3)	1
	L20	(time and interval\$1 and database\$).ti.	210
	L19	L18 and (interval\$1 near5 adjust\$)	1
\mathbf{n}	L18	(time near5 interval\$) same (stock near5 value\$1)	71
		GPB; PLUR=YES; OP=ADJ	• •
	L17	US-20040083152-A1.did.	1
	L16	US-20040083152-A1.did.	1
	DB=PG	SPB,USPT,USOC,EPAB,JPAB,DWPI,TDBD; PLUR=YES; OP=AD.	I
	L15	(financial and interval\$ and data\$).ti.	4

L14	(interval\$1 and stock and data\$).ti.	3
L13	111 and ((stock near5 price) same (time near5 interval\$1))	1
L12	L11 and (raw near5 data\$)	0
L11	(stock\$1 and securit\$ and data\$).ti.	35
L10	L9 and (security near5 process)	3
L9	L1 and (split near5 value\$1)	39
L8	L4 and (split near5 value\$1)	0
L7	L5 and (split near5 value\$1)	0
L6	L5 and (adjusted near5 value\$1)	0
L5	L4 and (time near5 interval\$1)	30
L4	(database\$1 near5 view\$1) same (raw near5 data\$)	41
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L1	(raw near5 data\$) and (time near5 interval\$1)	7331

END OF SEARCH HISTORY

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DATE: Thursday, December 09, 2004

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	L15	L14 and ((adjust\$ or modify\$) near5 (value\$1))	4
	L14	L13 and (data near5 value\$1)	30
	L13	L12 and (data near5 range\$1)	41
	L12	(time series) near5 database\$	274
	L11	L10 and (securit\$ same financial)	1
	L10	L9 and ((adjust\$ or modify\$) near5 (data\$))	13
	L9	L8 and query\$	18
	L8	L7 and ((data near5 rang\$1) same (data near5 value\$1))	131
	L7	(time series) same (data near5 value\$1)	1641
	L6	L5 and query\$	1
	L5	((time near5 interval\$1) same (database\$)).ti.	203
	L4	((time near5 interval\$1) same (database\$)).ab.	404
	L3	((time neear5 interval\$1) and (database\$)).ab.	0
	L2	((time neear5 interval\$1) and (database\$)).ab.	0
	L1	((time neear5 interval\$1) same (database\$)).ab.	0

END OF SEARCH HISTORY

Hit List

Your wildcard search against 10000 terms has yielded the results below.

Your result set for the last L# is incomplete.

The probable cause is use of unlimited truncation. Revise your search strategy to use limited truncation.

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 1 of 1 returned.

1. Document ID: US 5987432 A

Using default format because multiple data bases are involved.

L44: Entry 1 of 1

File: USPT

Nov 16, 1999

US-PAT-NO: 5987432

DOCUMENT-IDENTIFIER: US 5987432 A

TITLE: Fault-tolerant central ticker plant system for distributing financial market

<u>data</u>

DATE-ISSUED: November 16, 1999

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Zusman; Joseph Sherman Oaks CA Tang; Jennifer L. Canoga Park CA

Nakelsky; Raymond S. Los Angeles CA Verbeck; Stephen L. Lake Forest CA

Azizian; David West Los Angeles CA

US-CL-CURRENT: <u>705/35</u>; <u>705/37</u>, <u>714/11</u>

Title Citation Front Review Classification Date Reference	Claims 100
Generate Collection Print Fwd Refs Bkwd Refs	Generate
Term	Documents
TIME	6888532
TIMES	2233333
INTERVAL\$	0
INTERVAL	736467
INTERVALA	41
INTERVALABBREVIATION	1
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Hit List

Clear Generate Collection Print Fwd Refs Bkwd Refs
Generate OACS

Search Results - Record(s) 1 through 4 of 4 returned.

1. Document ID: US 5701400 A

Using default format because multiple data bases are involved.

L28: Entry 1 of 4

File: USPT

Dec 23, 1997

US-PAT-NO: 5701400

DOCUMENT-IDENTIFIER: US 5701400 A

TITLE: Method and apparatus for applying if-then-else rules to data sets in a relational data base and generating from the results of application of said rules a database of diagnostics linked to said data sets to aid executive analysis of financial data

DATE-ISSUED: December 23, 1997

INVENTOR-INFORMATION:

NAME

CITY STATE

ZIP CODE

COUNTRY

Amado; Carlos Armando

Miami FL

33131-2400

US-CL-CURRENT: 706/45; 706/47, 706/60

Full Ti	Title C	Citation	Front	Review	Classification	Date	Reference		Claims	K0000	Draw, D
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2. Document ID: JP 2004280739 A, US 20040193657 A1

L28: Entry 2 of 4

File: DWPI

Oct 7, 2004

DERWENT-ACC-NO: 2004-717998

DERWENT-WEEK: 200470

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TITLE: Analysis service system for $\underline{\text{financial}}$ information distribution system, stores data requests received from user site for acquiring repetitive and

independent data from financial information database

INVENTOR: FUTATSUGI, S; SAITO, N ; YOKOSUKA, T

PRIORITY-DATA: 2003JP-0074806 (March 19, 2003)

PATENT-FAMILY:

 PUB-NO
 PUB-DATE
 LANGUAGE
 PAGES
 MAIN-IPC

 JP 2004280739 A
 October 7, 2004
 014
 G06F017/60

 US 20040193657 A1
 September 30, 2004
 017
 G06F012/00

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INT-CL (IPC): G06 F 12/00; G06 F 17/60

3. Document ID: TW 514801 A

L28: Entry 3 of 4

File: DWPI

Dec 21, 2002

DERWENT-ACC-NO: 2003-615221

DERWENT-WEEK: 200358

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 $\begin{tabular}{ll} {\bf TITLE:} & \underline{\bf Financial \ database} & {\bf value-added \ system \ and \ method \ therefor - classify \ and \ edit \ the \ real-time \ transaction \ information \ of \ individual \ \underline{\bf stock \ in \ stock \ market} \ \\ \end{tabular}$

stored in a financial database

INVENTOR: CHIN, L

PRIORITY-DATA: 2001TW-0104768 (March 1, 2001)

PATENT-FAMILY:

PUB-NO

PUB-DATE

LANGUAGE

PAGES

MAIN-IPC

TW 514801 A

December 21, 2002

000

G06F017/30

INT-CL (IPC): $\underline{G06} + \underline{17/30}$

Full Title Citation Front Review Classification Date Reference Claims Kill Draw Da

4. Document ID: CA 2281459 A1

L28: Entry 4 of 4

File: DWPI

Mar 2, 2001

DERWENT-ACC-NO: 2001-503000

DERWENT-WEEK: 200156

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TITLE: Computer system to select/target interactive commerce enabled commercial messages for identifying user profile in a <u>database</u> e.g. <u>financial</u> transaction,

using software to record and store user interaction data

INVENTOR: SEGURA, E E

PRIORITY-DATA: 1999CA-2281459 (September 2, 1999)

PATENT-FAMILY:

PUB-NO

PUB-DATE

LANGUAGE

PAGES

b

MAIN-IPC

CA 2281459 A1

March 2, 2001

E

003

H04L012/16

INT-CL (IPC): $\underline{H04} \ \underline{L} \ \underline{12/16}$

Full Title Citation Front Review Classification Date Reference

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Search Results - Record(s) 1 through 4 of 4 returned.

1. Document ID: US 20030179909 A1

Using default format because multiple data bases are involved.

L30: Entry 1 of 4

File: PGPB

Sep 25, 2003

Oct 10, 2002

PGPUB-DOCUMENT-NUMBER: 20030179909

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20030179909 A1

TITLE: Personal choice biometric signature

PUBLICATION-DATE: September 25, 2003

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Wong, Jacob Y. Goleta

CA US

Chong, Delano P. Mountain View CA US

US-CL-CURRENT: <u>382/115</u>

Full Title Citation Front	Review Classification	Date Reference	Sequences Attachmento	Claims	Kwic	Отабо. О е

File: PGPB

2. Document ID: US 20020147979 A1

PGPUB-DOCUMENT-NUMBER: 20020147979 PGPUB-FILING-TYPE: new

L30: Entry 2 of 4

DOCUMENT-IDENTIFIER: US 20020147979 A1

TITLE: Method and system for providing instant start multimedia content

PUBLICATION-DATE: October 10, 2002

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Corson, Greg Foster City CA US

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US-CL-CURRENT: 725/90; 725/87

Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | Killic | Draw De

3. Document ID: US 6574600 B1

L30: Entry 3 of 4

File: USPT

Jun 3, 2003

US-PAT-NO: 6574600

DOCUMENT-IDENTIFIER: US 6574600 B1

TITLE: Audio financial data system

DATE-ISSUED: June 3, 2003

INVENTOR-INFORMATION:

NAME

Vagle; Wade J.

CITY

STATE ZIP CODE

COUNTRY

Fishman; Bradley S.

Charlevoix Woodbury MI MN

US-CL-CURRENT: 704/270; 704/260, 704/274, 705/35

Full Title Citation Front Review Classification Date Reference Claims 1000 Draws De Claims 10

US-PAT-NO: 6507818

DOCUMENT-IDENTIFIER: US 6507818 B1

TITLE: Dynamic prioritization of financial data by predetermined rules with audio

output delivered according to priority value

DATE-ISSUED: January 14, 2003

INVENTOR-INFORMATION:

NAME

CITY

STATE ZIP CODE

COUNTRY

Fishman; Bradley S.

Charlevoix

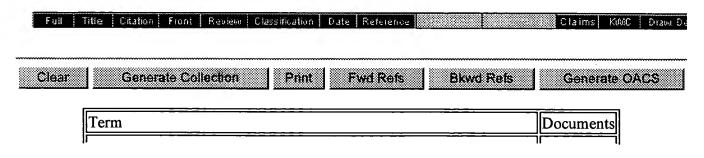
MI

Vagle; Wade J.

Woodbury

MN

US-CL-CURRENT: <u>704/270</u>; <u>704/272</u>, <u>704/274</u>



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Search Results - Record(s) 1 through 13 of 13 returned.

1. Document ID: US 20040122790 A1

Using default format because multiple data bases are involved.

L34: Entry 1 of 13

File: PGPB

Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122790

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122790 A1

TITLE: Computer-assisted data processing system and method incorporating automated

learning

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Walker, Matthew J. New Berlin WI US Sabol, John M. Sussex WI US Avinash, Gopal B. New Berlin WI US

US-CL-CURRENT: 707/1

Full	Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	1006C	Drawe D
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2. Document ID: US 20040122787 A1

L34: Entry 2 of 13

File: PGPB

Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122787

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122787 A1

TITLE: Enhanced computer-assisted medical data processing system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Avinash, Gopal B. New Berlin WI US Sabol, John M. Sussex WI US Walker, Matthew J. New Berlin WI US

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US-CL-CURRENT: 706/50

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw Da

3. Document ID: US 20040122719 A1

L34: Entry 3 of 13

File: PGPB

Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122719

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122719 A1

TITLE: Medical resource processing system and method utilizing multiple resource

type data

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Sabol, John M. Sussex WI US
Avinash, Gopal B. New Berlin WI US
Walker, Matthew J. New Berlin WI US

US-CL-CURRENT: 705/7

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KWIC Draw Da

4. Document ID: US 20040122709 A1

L34: Entry 4 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122709

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122709 A1

TITLE: Medical procedure prioritization system and method utilizing integrated

knowledge base

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Avinash, Gopal B. New Berlin WI US Sabol, John M. Sussex WI US Walker, Matthew J. New Berlin WI US

US-CL-CURRENT: 705/2; 706/45

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KWIC Draw Do

h e b b g ee e f e ef b e

5. Document ID: US 20040122708 A1

L34: Entry 5 of 13

File: PGPB

Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122708

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122708 A1

TITLE: Medical data analysis method and apparatus incorporating in vitro test data

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Avinash, Gopal B. New Berlin WI US Walker, Matthew J. New Berlin WI US Sabol, John M. Sussex WI US

US-CL-CURRENT: 705/2; 706/45, 707/9, 709/203, 713/166

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KNNC Draw Do

6. Document ID: US 20040122707 A1

L34: Entry 6 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122707

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122707 A1

TITLE: Patient-driven medical data processing system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Sabol, John M. Sussex WI US

Walker, Matthew J. New Berlin WI US Avinash, Gopal B. New Berlin WI US

US-CL-CURRENT: 705/2; 707/9, 709/203, 713/166

Full | Title | Citation | Front | Review | Classification | Date | Reference | Sequences | Attachments | Claims | Kunc | Draw, Do

7. Document ID: US 20040122706 A1

L34: Entry 7 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122706

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122706 A1

TITLE: Patient data acquisition system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Walker, Matthew J. New Berlin WI US Sabol, John M. Sussex WI US Avinash, Gopal B. New Berlin WI US

US-CL-CURRENT: 705/2; 706/45

Full Title Citation Fro	nt Review Classification	Date Reference	Sequences	Attachments	Claims	Kuac	Draw De

8. Document ID: US 20040122705 A1

L34: Entry 8 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122705

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122705 A1

TITLE: Multilevel integrated medical knowledge base system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Sabol, John M. Sussex US WI Walker, Matthew J. New Berlin WI US Avinash, Gopal B. New Berlin WI US

US-CL-CURRENT: 705/2; 706/45, 707/9, 709/203, 713/166

Full	litle	Citation	Front	Review Classification	Reference	Sequences	Attachments	Claims	KeelC	Drawa De
								<u> </u>		

9. Document ID: US 20040122704 A1

L34: Entry 9 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122704

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122704 A1

TITLE: Integrated medical knowledge base interface system and method

PUBLICATION-DATE: June 24, 2004

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INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Sabol, John M. Sussex WI US
Avinash, Gopal B. New Berlin WI US
Walker, Matthew J. New Berlin WI US

US-CL-CURRENT: 705/2; 706/45, 707/9, 713/166

Full Title Citation	Front	Review Classification	Date	Reference	Sequences	Attachments	Claims	KOMC	Orano De
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10. Document ID: US 20040122703 A1

L34: Entry 10 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122703

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122703 A1

TITLE: Medical data operating model development system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Walker, Matthew J. New Berlin WI US Sabol, John M. Sussex WI US Avinash, Gopal B. New Berlin WI US

US-CL-CURRENT: <u>705/2</u>; <u>706/45</u>

Full Title Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments Claims	KOOOC Drawa D

11. Document ID: US 20040122702 A1

L34: Entry 11 of 13 File: PGPB Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040122702

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040122702 A1

TITLE: Medical data processing system and method

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Sabol, John M. Sussex WI US

Avinash, Gopal B. New Berlin WI US Walker, Matthew J. New Berlin WI US

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US-CL-CURRENT: 705/2; 600/300, 706/45

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KwiC Draw De

12. Document ID: US 20040120557 A1

L34: Entry 12 of 13

File: PGPB

Jun 24, 2004

Aug 21, 2003

PGPUB-DOCUMENT-NUMBER: 20040120557

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040120557 A1

TITLE: Data processing and feedback method and system

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47

Sabol, John M. Sussex
Avinash, Gopal B. New Berlin

New Berlin WI US

WI

WI

File: PGPB

US

US

US-CL-CURRENT: 382/128

Walker, Matthew J.

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13. Document ID: US 20030155415 A1

PGPUB-DOCUMENT-NUMBER: 20030155415

PGPUB-FILING-TYPE: new

L34: Entry 13 of 13

DOCUMENT-IDENTIFIER: US 20030155415 A1

TITLE: Communication between machines and feed-forward control in event-based

product manufacturing

PUBLICATION-DATE: August 21, 2003

INVENTOR-INFORMATION:

MANGE				
NAME	CITY	STATE	COUNTRY	RULE-47
Markham, Charles Earl	Appleton	WI	US	
Barber, Douglas Gordon Barron	Appleton	WI	បន	
Fuller, Paul D.	Menasha	WI	US	
Hise, John Harland	Neenah	WI	us	
Ihde, Sheryl Annette	Greenville	WI	US	
Lindsay, Jeffrey Dean	Appleton	WI	US	
Matheus, Jon Ray	Appleton	WI	US	
Nygaard, Kurt Sigurd	Appleton	WI	US	
Pokorny, Michael Roy	Neenah	WI	us	

h e b b g e e e f b e

Reads, Walter Caswell	Appleton	WI	US
Shaffer, Gregory Duncan	Neenah	WI	US
Tiffany, Flynn Matthew	Layton	UT	US
Yosten, Roger Dale	Sumner	TX	US

US-CL-CURRENT: 235/376

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INTERVALAB6VE	1
INTERVALACCORDING	
INTERVALADJUSTABLE	1
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1. Document ID: US 20040243492 A1

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L2: Entry 1 of 5

File: PGPB

Dec 2, 2004

PGPUB-DOCUMENT-NUMBER: 20040243492

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040243492 A1

TITLE: Method of recovering the real value of a stock from the stock pricing data

PUBLICATION-DATE: December 2, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Korisch, Semmen I.

Ekaterinburg

RU

US-CL-CURRENT: 705/35

Full Title Citation Front	Review Classification Date Reference	Sequences Attachments Claims KWC	Отаки Ов

2. Document ID: US 20040133500 A1

L2: Entry 2 of 5

File: PGPB

Jul 8, 2004

PGPUB-DOCUMENT-NUMBER: 20040133500

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040133500 A1

TITLE: Apparatus and method for displaying trading trends

PUBLICATION-DATE: July 8, 2004

INVENTOR-INFORMATION:

NAME

CITY

STATE

COUNTRY

RULE-47

Thompson, George

Carrollton

TX

US

Schardt, Greg

Plano

ТX

US

US-CL-CURRENT: 705/37

Full Title Citation Front Review Classification Date Reference Sequences Attachments Claims KMC Draw D

hebbgeeefe e efb

3. Document ID: US 6415268 B1

L2: Entry 3 of 5

File: USPT

Jul 2, 2002

US-PAT-NO: 6415268

DOCUMENT-IDENTIFIER: US 6415268 B1

TITLE: Method of recovering the real value of a stock from the stock pricing data

DATE-ISSUED: July 2, 2002

INVENTOR-INFORMATION:

NAME CITY

STATE ZIP CODE COUNTRY

Korisch; Semmen I. Ekaterinburg 620146

RU

US-CL-CURRENT: 705/36

Full Title Citation Front Review Classification	Date Reference	Claims KMMC Draw De
E 4 December 1D. 110 2055450 A		
4. Document ID: US 3255458 A		

US-PAT-NO: 3255458

DOCUMENT-IDENTIFIER: US 3255458 A

TITLE: Data handling

DATE-ISSUED: June 7, 1966

INVENTOR-NAME: BOB MELLON

US-CL-CURRENT: 347/259; 346/33R, 346/34

Full Title Citation Front	Review Classification	Date Reference		Claims KWW	C Drawn De
5. Document ID:			·······	***************************************	
L2: Entry 5 of 5		File: US	oc	Jun 30,	1964

US-PAT-NO: 3139319

DOCUMENT-IDENTIFIER: US 3139319 A

TITLE: Data handling

DATE-ISSUED: June 30, 1964

INVENTOR-NAME: BOB MELLON

US-CL-CURRENT: 346/34; 346/49, 347/224, 365/127, 700/67, 702/127

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1. Document ID: US 20040123129 A1

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L10: Entry 1 of 3

File: PGPB

Jun 24, 2004

PGPUB-DOCUMENT-NUMBER: 20040123129

PGPUB-FILING-TYPE: new

DOCUMENT-IDENTIFIER: US 20040123129 A1

TITLE: Trusted infrastructure support systems, methods and techniques for secure

electronic commerce transaction and rights management

PUBLICATION-DATE: June 24, 2004

INVENTOR-INFORMATION:

NAME CITY STATE COUNTRY RULE-47 Ginter, Karl L. Beltsville MD US Shear, Victor H. Bethesda MD US Spahn, Francis J. El Cerrito CA US Van Wie, David M. Sunnyvale CA US Weber, Robert P. Menlo Park CA US

US-CL-CURRENT: 713/193

Full		Title	Citation	Front	Review	Classification	Date	Reference	Sequences	Attachments	Claims	KOMO	Draw De
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2. Document ID: US 6658568 B1

File: USPT

Dec 2, 2003

US-PAT-NO: 6658568

L10: Entry 2 of 3

DOCUMENT-IDENTIFIER: US 6658568 B1

** See image for <u>Certificate of Correction</u> **

TITLE: Trusted infrastructure support system, methods and techniques for secure electronic commerce transaction and rights management

DATE-ISSUED: December 2, 2003

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

Ginter; Karl L. Beltsville MD

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Shear; Victor H. Bethesda MD
Spahn; Francis J. El Cerrito CA
Van Wie; David M. Sunnyvale CA
Weber; Robert P. Menlo Park CA

US-CL-CURRENT: $\frac{713}{193}$; $\frac{380}{231}$, $\frac{380}{233}$, $\frac{705}{51}$, $\frac{705}{52}$, $\frac{705}{53}$, $\frac{705}{59}$, $\frac{707}{10}$, $\frac{707}{9}$, $\frac{713}{155}$, $\frac{713}{165}$

3. Document ID: US 6522939 B1

L10: Entry 3 of 3 File: USPT Feb 18, 2003

US-PAT-NO: 6522939

DOCUMENT-IDENTIFIER: US 6522939 B1

TITLE: Computer system for quality control correlation

DATE-ISSUED: February 18, 2003

INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE COUNTRY
Strauch; Robert D.	Jacksonville	FL	32257
Lepper; John Mark	West Jacksonville	FL	32257
Martin; Wallace Anthony	Orange Park	FL	32065
Sanka; Ravi Sankar	North Jacksonville	FL	32256
Walker; Craig William	Jacksonville	\mathtt{FL}	32224
Wang; Daniel Tsu-Fang	Jacksonville	FL	32225
Johnson; Lars William	Indialantic	\mathtt{FL}	32903
Reinhart; Leonard Ross	Melbourne Beach	\mathtt{FL}	32951
Hearin; Larry G.	Round Rock	TX	78681
Solberg; Carolyn R.	Austin	TX	78731
Wilson; Jeffrey L.	Elgin	TX	78621

US-CL-CURRENT: $\frac{700}{116}$; $\frac{700}{108}$, $\frac{700}{109}$, $\frac{700}{110}$, $\frac{700}{216}$, $\frac{700}{28}$, $\frac{700}{32}$, $\frac{702}{115}$, $\frac{702}{81}$, $\frac{702}{82}$, $\frac{702}{84}$

Full Title Citation Front Review	Classification Date	Reference		Claims I	COMC Drawn
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SECURITIES				7731	
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1. Document ID: US 20020099636 A1

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L13: Entry 1 of 1

File: DWPI

Jul 25, 2002

DERWENT-ACC-NO: 2002-712364

DERWENT-WEEK: 200277

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TITLE: Stock investment timing management method involves determining confidence interval for security price by comparing probability distribution of historical

stock security data with actual security price

INVENTOR: NARUMO, T J

PRIORITY-DATA: 2000US-0725112 (November 29, 2000)

PATENT-FAMILY:

PUB-NO PUB-DATE LANGUAGE PAGES MAIN-IPC

<u>US 20020099636 A1</u> July 25, 2002 014 G06F017/60

INT-CL (IPC): G06 F 17/60

Generate Collection Print Fwd Refs Bkwd i	Refs Generate
Term	Documents
STOCK	442927
STOCKS	54059
PRICE	225948
PRICES	42794
TIME	6888532
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INTERVAL	736467
INTERVALA	41
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1. Document ID: US 2730932 A

Using default format because multiple data bases are involved.

L14: Entry 1 of 3

File: USPT

Jan 17, 1956

US-PAT-NO: 2730932

DOCUMENT-IDENTIFIER: US 2730932 A

TITLE: Apparatus for interval feed and jet screening of stock [TEXT AVAILABLE IN

USOCR DATABASE]

DATE-ISSUED: January 17, 1956

INVENTOR-INFORMATION:

NAME CITY STATE ZIP CODE COUNTRY

MCCRYSTLE JOHN D TOWNSEND EDWARD F

US-CL-CURRENT: <u>209/246</u>; <u>137/602</u>, <u>209/380</u>, <u>239/455</u>, <u>250/214R</u>

Full Title Citation Front Review Classification Date Reference

2. Document ID: US 20020099636 A1

L14: Entry 2 of 3

File: DWPI

Jul 25, 2002

DERWENT-ACC-NO: 2002-712364

DERWENT-WEEK: 200277

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TITLE: Stock investment timing management method involves determining confidence interval for security price by comparing probability distribution of historical

stock security data with actual security price

INVENTOR: NARUMO, T J

PRIORITY-DATA: 2000US-0725112 (November 29, 2000)

PATENT-FAMILY:

PUB-NO PUB-DATE LANGUAGE PAGES MAIN-IPC

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US 20020099636 A1

July 25, 2002

014

G06F017/60

INT-CL (IPC): $\underline{606} + \underline{17/60}$

Full Title Citation Front Review Classification Date Reference

3. Document ID: AU 758168 B, WO 200104795 A1, SE 9902639 A, SE 514556 C2, AU 200060433 A, EP 1196870 A1, JP 2003504761 W

L14: Entry 3 of 3

File: DWPI

Mar 20, 2003

DERWENT-ACC-NO: 2001-112645

DERWENT-WEEK: 200329

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TITLE: <u>Database</u> handling method for objects representing multi-dimensional reality e.g. design work, mining, WWW, \underline{stock} etc by determining the $\underline{intervals}$ object have

extensions in within a predetermined threshold value

INVENTOR: OLSSON, B

PRIORITY-DATA: 1999SE-0002639 (July 9, 1999)

PATENT-FAMILY:

PUB-NO	PUB-DATE	LANGUAGE	PAGES	MAIN-IPC
<u>AU 758168 B</u>	March 20, 2003		000	G06F017/30
WO 200104795 A1	January 18, 2001	E	021	G06F017/30
SE 9902639 A	January 10, 2001		000	G06F017/30
SE 514556 C2	March 12, 2001		000	G06F017/30
AU 200060433 A	January 30, 2001		000	G06F017/30
EP 1196870 A1	April 17, 2002	E	000	G06F017/30
JP 2003504761 W	February 4, 2003		026	G06F012/00

INT-CL (IPC): $\underline{G06} + \underline{12/00}$; $\underline{G06} + \underline{17/30}$

Title Citation Front Review Classification Date Reference	Claims K
Generate Collection Print Fwd Refs Bk	wd Refs Generate
Term	Documents
STOCK	442927
STOCKS	54059
INTERVAL\$1	0
INTERVAL	736467
INTERVALA	41
INTERVALB	10
INTERVALC	6

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1 Active databases for financial applications

Chandra, R.; Segev, A.;

Research Issues in Data Engineering, 1994. Active Database Systems. Procee-Fourth International Workshop on , 14-15 Feb. 1994

Pages:46 - 52

[Abstract] [PDF Full-Text (604 KB)] IEEE CNI

2 Intelligent hybrid system for data mining

Hambaba, M.L.;

Computational Intelligence for Financial Engineering, 1996., Proceedings of th IEEE/IAFE 1996 Conference on , 24-26 March 1996

Pages:111

[Abstract] [PDF Full-Text (64 KB)] IEEE CNF

3 The DBInspector project

Stofella, P.;

Research Issues in Data Engineering, 1997. Proceedings. Seventh Internation Workshop on , 7-8 April 1997

Pages:73 - 75

[Abstract] [PDF Full-Text (264 KB)] IEEE CNF

4 Validity and utility of a hyperknowledge-based financial benchmarki system

Vanharanta, H.; Kakola, T.; Back, B.;

System Sciences, 1995. Vol. III. Proceedings of the Twenty-Eighth Hawaii

International Conference on , Volume: 3 , 3-6 Jan. 1995

Pages: 221 - 230 vol.3

е

[Abstract] [PDF Full-Text (1212 KB)] IEEE CNF

5 Toward the notion of a knowledge repository for financial risk management

Benaroch, M.;

Knowledge and Data Engineering, IEEE Transactions on , Volume: 9 , Issue:

1 , Jan.-Feb. 1997

Pages:161 - 167

[Abstract] [PDF Full-Text (276 KB)] IEEE JNL

6 Stock selection using rule induction

John, G.H.; Miller, P.; Kerber, R.;

Expert, IEEE [see also IEEE Intelligent Systems] , Volume: 11 , Issue: 5 , Oct

1996

Pages:52 - 58

[Abstract] [PDF Full-Text (2776 KB)] IEEE JNL

7 Design of lexical database for financial domain

Keh-Jiann Chen; Jia-Ming You; Dee-Hwa Kao; Cheng-Huei Wu; Natural Language Processing and Knowledge Engineering, 2003. Proceedings. International Conference on , 26-29 Oct. 2003 Pages:784 - 789

[Abstract] [PDF Full-Text (415 KB)] IEEE CNF

8 When knowledge becomes information: a case of mistaken identity

Kay, R.; Cecez-Kecmanovic, D.;

Database and Expert Systems Applications, 2000. Proceedings. 11th Internati Workshop on , 4-8 Sept. 2000

Pages:1128 - 1133

[Abstract] [PDF Full-Text (508 KB)] IEEE CNF

9 Requirements and design of replication services for a time series management system

Dreyer, W.; Schmidt, D.; Dittrich, A.K.; Bleichenbacher, M.; Scientific and Statistical Database Systems, 1996. Proceedings., Eighth International Conference on , 18-20 June 1996 Pages: 208 - 215

[Abstract] [PDF Full-Text (700 KB)] IEEE CNF

10 Hospital financial electronic reporting

Mowat, J.; Gong Zhang; Wieler, J.;

Electrical and Computer Engineering, 2002. IEEE CCECE 2002. Canadian

Conference on , Volume: 2 , 12-15 May 2002

Pages:1205 - 1210 vol.2

[Abstract] [PDF Full-Text (542 KB)] IEEE CNF

11 Cooperative caching in append-only databases with hot spots

Sinha, A.; Chase, C.; Cochinwala, M.;

Data Engineering, 1999. Proceedings., 15th International Conference on , 23-March 1999

Pages:70 - 78

[Abstract] [PDF Full-Text (120 KB)] IEEE CNF

12 Company acquisition analysis formulating queries with imprecise domains

Cox, E.;

Artificial Intelligence on Wall Street, 1991. Proceedings., First International Conference on , 9-11 Oct. 1991

Pages: 194 - 199

[Abstract] [PDF Full-Text (308 KB)] IEEE CNF

13 Training neural networks for deriving bond rating formulas

Surkan, A.J.; Ying, X.;

Neural Networks, 1991., IJCNN-91-Seattle International Joint Conference on , Volume: ii , 8-14 July 1991

Pages:903 vol.2

[Abstract] [PDF Full-Text (64 KB)] IEEE CNF

14 Implementing a pre-payment system

Tibbenham, M.I.;

Metering and Tariffs for Energy Supply, 1999. Ninth International Conference (Conf. Publ. No. 462), 25-28 May 1999

Pages:251 - 257

[Abstract] [PDF Full-Text (432 KB)] IEE CNF

15 Reusability-the major promise and challenge of the object oriented approach

McGregor, D.R.;

Applications and Experience of Object-Oriented Design, IEE Colloquium on , 2^{4} 1991

Pages:7/1 - 7/6

[Abstract] [PDF Full-Text (260 KB)] IEE CNF

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Dreyer, W.; Schmidt, D.; Dittrich, A.K.; Bleichenbacher, M.; Scientific and Statistical Database Systems, 1996. Proceedings., Eighth International Conference on , 18-20 June 1996 Pages: 208 - 215

[Abstract] [PDF Full-Text (700 KB)] IEEE CNF

2 Design and development of neural Bayesian approach for unpredicta stock exchange databases

Khokhar, R.H.; Sap, M.N.M.;

Cyberworlds, 2003. Proceedings. 2003 International Conference on , 3-5 Dec.

Pages:364 - 371

[Abstract] [PDF Full-Text (292 KB)] IEEE CNF

3 An improved training algorithm for support vector machines

Osuna, E.; Freund, R.; Girosi, F.;

Neural Networks for Signal Processing [1997] VII. Proceedings of the 1997 IE Workshop, 24-26 Sept. 1997

Pages: 276 - 285

[Abstract] [PDF Full-Text (432 KB)] IEEE CNF

4 Similarity searching for multi-attribute sequences

Kahveci, T.; Singh, A.; Gurel, A.;

Scientific and Statistical Database Management, 2002. Proceedings. 14th International Conference on , 24-26 July 2002

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Pages:175 - 184

[Abstract] [PDF Full-Text (1346 KB)] IEEE CNF

5 An object-oriented data model for a time series management systen Dreyer, W.; Dittrich, A.K.; Schmidt, D.;

Scientific and Statistical Database Management, 1994. Proceedings., Seventh International Working Conference on , 28-30 Sept. 1994

Pages: 186 - 195

[Abstract] [PDF Full-Text (940 KB)] IEEE CNF

6 Fast similarity search in the presence of longitudinal scaling in time series databases

Keogh, E.;

Tools with Artificial Intelligence, 1997. Proceedings., Ninth IEEE International Conference on , 3-8 Nov. 1997

Pages: 578 - 584

[Abstract] [PDF Full-Text (568 KB)] IEEE CNF

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[Abstract] [PDF Full-Text (493 KB)] **IEEE JNL**

3 A topological-directional model for the spatio-temporal composition video objects

Pissinou, N.; Radev, I.; Makki, E.; Campbell, W.J.;

Research Issues In Data Engineering, 1998. Continuous-Media Databases and Applications. Proceedings. Eighth International Workshop on , 23-24 Feb. 199 Pages:17 - 24

[Abstract] [PDF Full-Text (216 KB)] **IEEE CNF**

4 An expert systems approach for automating performance analysis of air launch cruise missile tests

Lopez, R.G.:

6 , Nov.-Dec. 2001

Pages: 1033 - 1040

AUTOTESTCON '94. IEEE Systems Readiness Technology Conference. 'Cost

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Effective Support Into the Next Century', Conference Proceedings. , 20-22 Sel 1994

Pages:515 - 525

[Abstract] [PDF Full-Text (664 KB)] IEEE CNF

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Active databases for financial applications

Chandra, R. Segev, A.

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Walter A. Haas Sch. of Bus., California Univ., Berkeley, CA, USA;

This paper appears in: Research Issues in Data Engineering, 1994. Activ Database Systems. Proceedings Fourth International Workshop on

Meeting Date: 02/14/1994 - 02/15/1994

Publication Date: 14-15 Feb. 1994

Location: Houston, TX USA

On page(s): 46 - 52 Reference Cited: 23

Inspec Accession Number: 4648442

Abstract:

Advances in computers, financial theory and the uncertainty of interest rates to the creation of a vast marketplace of financial instruments. Financial approach which are meant to facilitate trading in these instruments have also become a Active database technology will be necessary to meet the stringent requirem financial trading applications. The authors identify the important database requirements of financial applications that are not met by commercial database technology. Active database support in the form of temporal and non-tempo discussed. Language support to provide active database functionality is discussible the issues in the design of on optimizer for such a language

Index Terms:

financial data processing object-oriented databases query languages relational dat active databases database functionality financial applications financial instruments theory financial trading applications language support non-temporal rules optimize rules

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Implementing calendars and temporal rules in next generation databases

Chandra, R. Segev, A. Stonebraker, M.

Walter A. Haas Sch. of Bus., California Univ., Berkeley, CA, USA;

This paper appears in: Data Engineering, 1994. Proceedings. 10th Inter

Conference

Meeting Date: 02/14/1994 - 02/18/1994

Publication Date: 14-18 Feb. 1994

Location: Houston, TX USA On page(s): 264 - 273 Reference Cited: 28

Inspec Accession Number: 4664532

Abstract:

In applications like **financial** trading, scheduling, manufacturing and process **time** based predicates in queries and rules are very important. There is also a define lists of **time** points or **intervals**. The authors refer to these lists as call authors present a system of calendars that allow specification of natural-langulased expressions, maintenance of valid **time** in **databases**, specification of conditions in **database** queries and rules, and user-defined semantics for dat manipulation. A simple list based language is proposed to define, manipulate calendars. The design of the parser and an algorithm for efficient evaluation of expressions is also described. The paper also describes the implementation of based rules in POSTGRES using the proposed system of calendars

Index Terms:

database theory query languages temporal databases POSTGRES calendars databases queries date manipulation extensible databases next generation databases temporal conditions temporal databases temporal rules time based predicates time based redefined semantics

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Supplements and Datasets

Papers from the October 2000 issue

The Equity Share in New Issues and Aggregate
Stock Returns

Malcolm Baker and Jeffrey Wurgler

Papers from the April 2000 issue

 Supplement to Foreign Speculators and Emerging Equity Markets (.htm files)
 Geert Bekaert and Campbell R. Harvey

Papers from the October 1999 issue:

 Supplement to Optimal Investment, Growth Options and Security Returns
 Jonathan Berk, Richard Green, and Vasant Naik

Papers from the December 1998 issue:

- Data for "Earnings Management and the Long-Run Market Performance of Initial public Offerings" (.htm files)
 Authored by Siew Hong Teoh, Ivo Welch, and T.J. Wong
- Dividend Corrections from "Stock Returns, Dividend Yields and Taxes"
 Authored by Andy Naranjo, M. Nimalendran, and Mike Ryngaert

Papers from the April 1998 issue:

Data of Block Share Information from "Block Share Purchases and Corporate Performance" Authored by Jennifer Bethel, Julia Liebeskind, and Tim Opler

Papers from the February 1998 issue:

- Appendix for Deutsche Mark-Dollar Volatility:
 Intraday Activity Patterns, Macroeconomic
 Announcements, and Longer Run Dependencies
 Torben Andersen and Tim Bollersley
- Simulated marginal tax rates from "Debt, Leases, Taxes, and the Endogeneity of Corporate Tax Status"

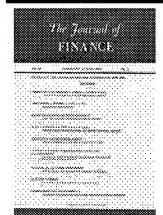
Authored by John R. Graham, Michael L. Lemmon, and James S. Schallheim.

Papers from the September 1997 issue:

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Appendix Tables for Informed Traders, Intervention and Price Leadership: A Deeper View

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of the Microstructure of the Foreign Exchange Market

Bettina Peiers

Appendicies for Public-Offerings of State-Owned and Privately-Owned Enterprises: An International Comparison

Kathryn L. Dewenter and Paul H. Malatesta

Updates of Papers:

- "Return Volatility and Trading Volume: An Information Flow Interpretation of Stochastic Volatility" Errata
 Torben Andersen, originally published Journal of Finance, 1996, Vol 51, 169-204
- "Finance Research Productivity and Influence" Update of Borokhovich, Bricker, Brunarski, and Simkins (1995)

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